

# Annual Investment Report, Lee College District, 2016

## Lee College Annual Investment Report (Including Deposits)

### Investment or Deposit Type

August 31, 2016 Market Value

#### Publicly Traded Equity and Similar Investments

Common Stock (U.S. and foreign stocks 6,884.00

held in separately managed accounts or internally managed by institution investment staff; exclude mutual or commingled funds)

Equity/Stock Mutual Funds

Balanced Mutual Funds (where target allocation is > 50% equities)

“Commonfund” Equity Commingled Funds

Other Equity Commingled Funds (if primarily invested in publicly traded equities)

Preferred Stock

Other – list by type

Total Publicly Traded Equity and Similar Investments 6,884.00

#### “Other” Investments – Other than Publicly Traded Equity and Debt Investments

Real Estate (include direct ownership & investments in real estate limited partnerships, private REITs, or similar vehicles; include a portfolio of publicly traded REITs if managed as a separate asset allocation category rather than comprising part of a broadly diversified stock portfolio )

Other Real Asset Investments (e.g. investments in infrastructure funds)

Private Equity

Hedge Funds

“Commonfund” Alternative Asset Commingled Funds (Real Estate, Private Equity, Hedge Funds, Commodities, etc.)

Annuities

Commodities

Collectibles

Other – list by type

Total "Other" Investments – Other than Publicly Traded Equity & Debt Investments 0.00

**Publicly Traded Debt & Similar Investments>1 year maturity**

- U.S. Government Securities ("Treasuries")
- U.S. Government Agency Securities ("Agencies")
- Mortgage Pass-Throughs – "Agency"
- Mortgage Pass-Throughs – "Private Label"
- Asset-Backed Securities (ABS) (other than mortgage-backed securities)
- Sovereign Debt (non-U.S.)
- Municipal Obligations
- Collateralized Mortgage Obligations (CMOs) – list below by category
- Interest Only Strips (IOs)
- Principal Only Strips (POs)
- Inverse Floaters
- Stated Final Maturity longer than 10 years
- Other CMOs – "Agency"
- Other CMOs – "Private Label"
- Corporate Obligations (U.S. or foreign companies) – list below by rating
- Highly Rated (AAA/AA or equivalent)
- Other Investment Grade (A/BBB or equivalent)
- High Yield Bonds (<BBB or equivalent)
- Not Rated (NR)
- Fixed Income/Bond Mutual Funds (longer term; registered with the SEC)
- Balanced Mutual Funds (where target allocation is > 50% bonds or other debt securities)
- "Commonfund" Fixed Income/Bond Commingled Funds
- Other Fixed Income/Bond Commingled Funds (primarily invested in publicly traded debt securities; not registered with the SEC)
- GICs (Guaranteed Investment Contracts)

**Other – list by type**

Total Publicly Traded Debt & Similar Investments >1 year 0.00

## Short-Term Investments & Deposits

U.S. Government Securities  
("Treasuries")

U.S. Government Agency Securities  
("Agencies")

Bankers' Acceptances

Commercial Paper – A1/P1 (or  
equivalent)

Other Commercial Paper – lower rated

Repurchase Agreements (Repos)

Money Market Mutual Funds (registered 3,463,650.00  
with the SEC)

Short-Term Mutual Funds Other than  
Money Market Mutual Funds (registered  
with the SEC)

### **Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")**

TexPool (and TexPool Prime) 7,568,555.00

Other Public Funds Investment Pools 639.00

Functioning as Money Market Mutual  
Funds

Other Investment Pools – Short-Term  
(not created to function as a money  
market mutual fund)

Certificates of Deposit (CD) – 4,003,090.00  
Nonnegotiable

Certificates of Deposit (CD) –  
Negotiable

Bank Deposits 3,217,680.00

Cash Held at State Treasury

### **Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)**

Other – list by type

Total Short-Term Investments & 18,253,614.00  
Deposits

TOTAL INVESTMENTS and 18,260,498.00  
DEPOSITS